

Fines and Penalties Summary Table

Type of Breach	CMA Function	Description	Penalty
SMS Orders, Commitments, and Conduct Requirements	Digital Markets	Breach of SMS firm conduct requirements, Interim Enforcement Orders, Enforcement Orders, Commitments, and Final Offer Orders related to conduct requirements.	Up to 10% of global turnover and/or daily fines up to 5% of daily global turnover (except that a penalty for breach of a conduct requirement must be a fixed amount).
Pro-Competition Orders (PCOs)	Digital Markets	Breach of PCOs imposed following a pro-competition investigation to remedy adverse effects on competition in digital markets.	Up to 10% of global turnover and/or daily fines up to 5% of daily global turnover.
Merger Reporting Requirement	Digital Markets	Failure by SMS firms to report mergers that meet reporting requirement thresholds.	Up to 10% of global turnover.
Investigatory Powers	Digital Markets	<ul style="list-style-type: none"><li>Failure to comply with DMU investigatory powers under Chapter 6 DMCC (e.g., s.69 notice, preserving information, power of access, power to interview, compliance reports);</li><li>Providing false or misleading information.</li></ul>	<b>Firm:</b> up to 1% of global turnover and/or daily fines up to 5% of daily global turnover. <b>Individual:</b> up to £30,000 and/or daily rate of £15,000, <b>or</b> – for intentional destruction etc. of docs, false/misleading information, or obstructing an officer – a fine up to statutory maximum and/or up to 2 years imprisonment.
Investigatory Powers	Mergers, Markets, and Antitrust	<ul style="list-style-type: none"><li>Failure to comply with formal information requests (or dawn raids in relation to antitrust investigations);</li><li>Obstruction or delay of an official;</li><li>Altering/supressing/destroying docs required by the CMA (in relation to mergers and markets investigations);</li><li>Destroying/disposing of/falsifying/concealing docs required by the CMA (in relation to antitrust investigations);</li><li>Providing false or misleading information.</li></ul>	<b>Firm:</b> up to 1% of global turnover and/or daily fines up to 5% of daily global turnover. <b>Individual:</b> up to £30,000 and/or daily rate of £15,000, <b>or</b> – for intentional destruction etc. of docs, false/misleading information, or obstructing an officer during a dawn raid – a fine up to statutory maximum and/or up to 2 years imprisonment.
Remedy Requirements	Mergers, Markets, and Antitrust	<ul style="list-style-type: none"><li>Failure to comply with an enforcement order/direction or undertakings/commitments accepted by the CMA;</li><li>Failure to comply with interim measures imposed in antitrust investigations.</li></ul>	<b>Firm:</b> up to 5% of global turnover and/or daily fines of up to 5% of daily global turnover. <b>Individual:</b> up to £30,000 and/or daily rate of £15,000.
Consumer Law Infringement	Consumer Enforcement	The CMA issues Final Infringement Notice (or a court issues an enforcement order) to respondent who has engaged, is engaging, or is likely to engage in an infringement of consumer law.	Up to £300,000 or, if higher, 10% of the total value of the turnover (if any) of the respondent.
Directions or Undertakings	Consumer Enforcement	Breach of Directions or Undertakings requiring businesses to take actions to rectify non-compliant behaviour and prevent future breaches.	Up to £150,000 or, if higher, 5% of total turnover (if any) of the respondent and/or daily fine of £15,000 or, if higher, 5% of the total value of the daily turnover (if any) of respondent.
Investigatory Powers	Consumer Enforcement	Failure to comply with an information notice.	Up to £30,000 or, if higher, 1% of the total value of the turnover (if any) and/or £15,000 per day or, if higher, 5% of the total value of the daily turnover (if any).
False or Misleading Information	Consumer Enforcement	Providing false or misleading information.	Up to £30,000 or, if higher, 1% of the total value of the turnover (if any).